

## CLINICAL GUIDELINES PHASE I AND II COMPLETION PROTOCOL

### **Purpose:**

To speed patients' progress towards a disease-free and stabilized oral health status.

### **Guideline Statement:**

To focus our dental care on moving patients toward stable oral health via the completion and tracking of treatment plan phases.

### **Application:**

All dentists

### **Exceptions:**

None

### **Supporting Information:**

HRSA has the following treatment plan completion (Phase I) expectations for dental care:

20-30%	Poor
30-50%	Average
50-70%	Excellent
>70%	Outstanding

Higher percentage rates correlate to better population management.

### **Procedure:**

1. Patients receiving comprehensive (D0150), periodic (D0120), and under three years of age (D0145) examinations are the focus of this protocol.
2. **Phase I completion** (\*PhsI in the EHR) reflects that the patient has been moved to stable oral health. This is the minimal and expected level of care for all our patients. Phase I completion is documented, once all of the following conditions are met:
  - a. Urgent consultations, evaluations, and any second opinions have been completed.
  - b. Periodontal status is stable.
    - i. No pending SRP is needed. Minimally, it must be scheduled already.
    - ii. Patient may have slight/mild gingivitis diagnosis.
  - c. All restorative procedures (basic restorations) have been completed.
    - i. There should be no active caries in the patient's mouth.
  - d. All endodontic procedures have been completed.
    - i. There should be no active periapical disease in the patient's mouth.
  - e. All urgent extractions have been completed.
    - i. No active disease state remains in the patient's mouth.
  - f. EXCEPTIONS:

- i. If the patient declines treatment for any of the above, and that has been properly documented in the chart, phase I completion should be documented.
    - ii. If the patient has been discontinued or terminated from care.
3. **Phase II completion** (\*PhsII in the EHR) reflects restoration of complete function and esthetics for the patient that requires laboratory-based treatments. It is to be documented, once all of the following conditions are met:
  - a. All non-urgent consultations, evaluations, and any second opinions have been completed.
  - b. Elective functional and esthetic restorative procedures have been completed.
  - c. Fixed prosthetic and implant services included in the treatment plan have been completed.
  - d. Any advanced endodontic procedures have been completed.
  - e. All pre-prosthetic and/or elective oral surgery has been completed.
  - f. Removable prostheses have been delivered.
    - i. Patient with accepted fit, function, and esthetics.
  - g. Any orthodontic treatment required has been completed or referred with proper documentation.
  - h. The patient is moved into recall status with documented recall schedule.
    - i. Patient is actively managing their care.
4. Each time a new exam/recall is completed, minimally another phase I completion is expected within the chart documentation.

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